

# FATCA · CRS Self-Certification Form

## (For Individual-Sole Proprietor)

본인확인	담당	책임자

▶ This self-certification form is required to be filled with pursuant to 「Tax Treaty Provisions concerning Automatic Exchange of Financial Account Information」 of the same Act in connection with the implementation of tax treaty for reciprocity-based periodic exchange of financial information.

### 1. Customer Profile

English Name (Capital Letter)	SurName(성)		Date of Birth	
	GivenName(이름)		Phone No.	

### 2. Whether Overseas Resident or Not

A. Please mark (V) in the applicable box (In the case of ① and ②, multiple choice is possible)			
①	<b>FATCA</b>	<input type="checkbox"/> 01 : U.S.citizen(including dual citizenship) <input type="checkbox"/> 02 : U.S. permanent resident <input type="checkbox"/> 03 : U.S. resident under U.S. tax law	<b>If you have a U.S. tax obligation, select that type U.S. resident according to u.s. tax law:</b> If you have stayed for more than 31days in the current year and have stayed for more than 183days on a weighted average over the past 3years
②	<b>CRS</b>	<input type="checkbox"/> 05 : Resident in a country other than U.S	Residents of countries other than Korea and U.S for tax purposes subject to taxation
③	<input type="checkbox"/> 04 : All N/A (Neither ① nor ② is applicable)		Submit proof or documents confirming that you are a korean or residence in Korea for tax purposes
B. If you have marked in ① or ② in the column of A, please describe Reporting country, Oversea english address, Taxpayer Identification Number(TIN), etc.			
<b>Reporting country</b>		<b>Taxpayer Identification No. (TIN)</b>	<b>Reason for Non-Description of Taxpayer Identification NO (V)</b> (Check if it can be objectively confirmed)
Jurisdiction of Residence (country)(1)			<input type="checkbox"/> Non-issuing ountry <input type="checkbox"/> Not equested by tax authority <input type="checkbox"/> Not acquired
Oversea English address			
Jurisdiction of Residence (country)(2)			<input type="checkbox"/> Non-issuing ountry <input type="checkbox"/> Not equested by tax authority <input type="checkbox"/> Not acquired
If you have marked in " <input type="checkbox"/> Not Acquired" please describe reasons			

- ※ What is the jurisdiction of residence? For tax purposes, it means the country of residence. (Countries with a tax agreement)
- ※ Oversea English address: Write the same overseas address as jurisdiction of Residence (1)  
( Do not fill out if your jurisdiction of residence is the U.S., but you only have a korean address)
- ※ If you have multiple residence jurisdictions, you must enter all countries that fall under the residence jurisdiction (1) and residence jurisdiction (2)

### 3. Confirmation

- I confirm that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. If there is any change in information described herein, I will notify it to the Bank within 30days
- If there is a change in my circumstances, I agree that I wil submit a new form within 90 days of the date this form is requested to be filled in
- I received a full explanation and understood that if my account is subject to be reported or if any information requested herein is not provided, my personal information and account(contract) information may be reported to National Tax Office and provided to the relevant authority of residence, etc.

Date(MM-DD-YYYY) : . . .

Name of individual/soleproprietor : (signature)

Name of representative : (signature) (Relationship : )

