

FATCA · CRS Self –Certification Form

| | | |
|------|----|-----|
| 본인확인 | 담당 | 책임자 |
| | | |

This self-certification form is required to be filled with pursuant to 「Tax Treaty Provisions concerning Automatic Exchange of Financial Account Information」 of the same Act in connection with the implementation of tax treaty for reciprocity-based periodic exchange of financial information.

1. Customer Profile

| | | | | |
|---------------------------|----------------|--|---------------|--|
| Name (Capital Letter)* | Surname(성) | | Date of Birth | |
| | Given Name(이름) | | Phone No. | |

*Not mandatory if you are Korean or Residence in Korea for tax purposes.

2. Whether Overseas Resident or Not

| | | | |
|---|-------|--|---|
| A . Please mark (☑) in the applicable box (In the case of ① and ②, multiple choice is possible) | | | |
| ① | FATCA | <input type="checkbox"/> U.S. citizen(including dual citizenship) <input type="checkbox"/> U.S. permanent resident <input type="checkbox"/> U.S. resident under U.S. tax law | If you have a U.S. tax obligation, select that type (U.S. resident according to u.s. tax law : If you have stayed for more than 31days in the current year and have stayed for more than 183days on a weighted average over the past 3years) |
| ② | CRS | <input type="checkbox"/> Resident in a country other than U.S | Residents of countries other than Korea and U.S for tax purposes subject to taxation |
| ③ | N/A | <input type="checkbox"/> All N/A (Neither ① nor ② is applicable) | Submit proof or documents confirming that you are a Korean or Residence in Korea for tax purposes(Certificate of Residence*) |
| B. If you have marked in ① or ② in the column of A, please describe Reporting country, Oversea English address, Taxpayer Identification Number(TIN), etc. | | | |
| Reporting country | | Taxpayer Identification No. (TIN) | Reason for Non-Description of Taxpayer Identification (Check(☑) if it can be objectively confirmed) |
| Jurisdiction of Residence Country *(1) | | | <input type="checkbox"/> Non-issuing country <input type="checkbox"/> Not requested by tax authority <input type="checkbox"/> Not acquired |
| Oversea English address | | | |
| Jurisdiction of Residence Country *(2) | | | <input type="checkbox"/> Non-issuing country <input type="checkbox"/> Not requested by tax authority <input type="checkbox"/> Not acquired |
| If you have marked in (☑)' Not Acquired' please describe reasons | | | |

* Certificate of Residence : Document after log-in to National Home Tax Service

* Submit Proof document of residence in Korea : Alien Registration Card, Permanent Resident Card.

* What is the jurisdiction of residence? For tax purposes, it means the country of residence. (Countries with a tax agreement)

- Oversea English address : Write the same overseas address as jurisdiction of Residence (1), (Do not fill out if your jurisdiction of residence is the U.S., But you have only a Korean address)

- If you have multiple residence jurisdictions, you must enter all countries that fall under the residence jurisdiction (1) and residence jurisdiction (2)

3. Confirmation

- I confirm that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. If there is any change in information described herein, I will notify it to the Bank within 30 days
- If there is a change in my circumstances, I agree that I will submit a new form within 60 days of the date this form is requested to Be filled in.
- I received a full explanation and understood that if my account is subject to be reported or if any information requested herein is not provided, my personal information and account(contract) information may be reported to National Tax Office and provided to the relevant authority of residence, etc.

MM - DD - YYYY Name of individual/soleproprietor : (signature)
 Name of representative : (signature) (Relationship :)

